

- Funding Status – FY 2018 and FY 2017
- Updates on USAC’s E-Rate Productivity Center and Legacy System
 - Updating Locked Entity Profile Data
 - Bulk Upload Templates for FRN Data
 - Copy FRN Warning on New Sites
- E-Rate Updates and Reminders
 - New E-Rate Central Website
 - Upcoming 2018 E-Rate Dates
 - FCC Commissioner Carr Nominated for Full Term
 - Executive Orders on Rural Broadband
 - FCC Lifeline Investigation Settlements
- USAC News Brief Dated January 12 – Category 2 Budget Tool Update

Funding Status – FY 2018 and FY 2017

FY 2018:

The FY 2018 Form 471 Application Window opened Thursday morning, January 11, 2018. The Window will close Thursday, March 22, 2018 at 11:59 p.m. EDT.

FY 2017:

Wave 34 for FY 2017 was released Saturday, January 13th, for a total of \$46.8 million. Funding for North Carolina was \$121 thousand for the Diocese of Charlotte. Cumulative national funding through Wave 34 is \$2.08 billion, including \$67.8 million for North Carolina. Wave 35 is expected to be released Friday, January 19th.

Updates on USAC’s E-Rate Productivity Center and Legacy System

Updating Locked Entity Profile Data:

As discussed in our [newsletter of January 8th](#), USAC locked all school entity profile data as of the opening of the FY 2018 Application Window last Thursday. The entity lockdown will remain in place for the duration of the window. This means that school and school district discount rates will be based on student NSLP data currently reflected in EPC. Applicants seeking to update entity profiles during the window will encounter the following message:

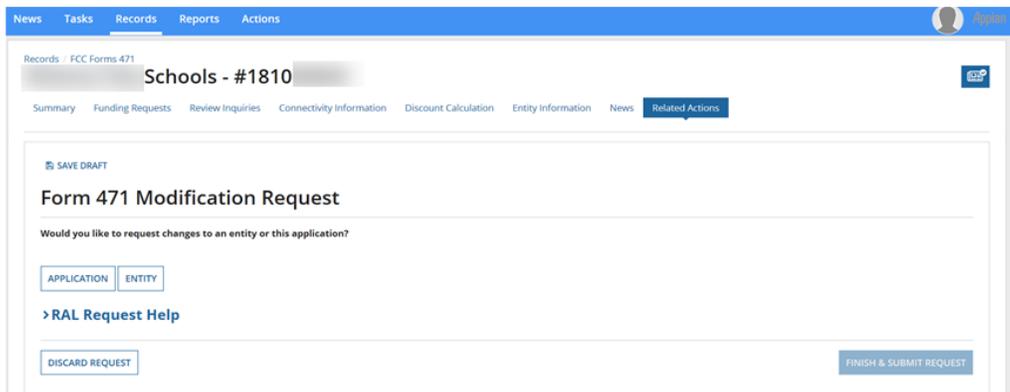
Entity Profile Locked

This part of the profile is currently locked, but will be available again on 4/2/2018 6:00 AM EDT, after the close of the Fund Year 2018 FCC Form 471 window.

If you need to update any profile data or your relationships for an FCC Form 471 application that you are still working on, please enter the updated information in the narrative for any FRN on that form. If you have already filed the application and need to provide updated profile and/or relationship data, please submit a RAL change request by navigating to the form, clicking on "Related Action" on the left hand menu, and selecting "Submit Modification Request (RAL)".

If you have any questions about this process, please contact USAC's Client Service Bureau (CSB) at 888-203-8100 or open a customer service case in EPC.

Once a Form 471 application has been completed and certified, RAL changes of application and/or entity data can be requested through EPC using the following page.



Bulk Upload Templates for FRN Data:

As noted in last week's USAC News Brief (referenced below), applicants creating detailed FRNs can upload Excel data using pre-formatted templates available for the different Category 1 and Category 2 services. Applicants largely replicating funding requests created from previous year templates need to make sure that current template versions are used for FY 2018 — most importantly, the v18.0 version of the Category 2 template for Internal Connections. Links to the most current versions of these templates can be found in [Step 3](#) of USAC's Form 471 guidance. See:

Related Templates

The current version of the templates and their version numbers are provided below. There is also a user guide you can use to prepare your templates.

- [Bulk Upload Line Item and Recipient of Service \(ROS\) Guide](#) 
- [Category One - Voice Services \(v16.3\)](#) 
- [Category One - Data Transmission and/or Internet Access \(v17.0\)](#) 
- [Category Two - Internal Connections \(v18.0\)](#) 
- [Category Two - Managed Internal Broadband Services \(MIBS\) \(v16.4\)](#) 
- [Category Two - Basic Maintenance of Internal Connections \(v16.4\)](#) 

Copy FRN Warning on New Sites:

Early experience with EPC's Form 471 for FY 2018 indicates that use of the "Copy FRN" function must be used with caution if an applicant has added a new site to its entity profile, either

as a new addition for FY 2018 (before entity profiles were locked) or as a PIA addition for FY 2017. New sites will not carry over into the recipient of service listings generated when previous year FRNs are copied, but may be added to the FRNs manually.

E-Rate Updates and Reminders

New E-Rate Central Website:

E-Rate Central is excited to launch its new website featuring a streamlined interface to provide easier access to our most popular tools. Links to our State Information page and Funding History Search tools are now available on our home page. Now it's easier than ever to review an applicant's or service provider's complete E-rate funding history since the inception of the program simply by entering your Billed Entity Number or SPIN. An example is shown below. Additional detail may be accessed by drilling down through the hot links to individual funding years, and thence to specific funding requests ("FRNs").

E-Rate Organizer Utilization Summary Chart

Applicant: [REDACTED]

Billed Entity: [REDACTED]

FY	Req. FRNs	Funded FRNs	486 on File	Requested Amount	Committed Category 1	Committed Category 2	Total Committed	Total Disbursed	Remaining Balance	Util. %
2018	0	0	0	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	0%
2017	89	89	88	\$1,931,008.10	\$1,918,886.82	\$0.00	\$1,918,886.82	\$0.00	\$1,918,886.82	0%
2016	92	91	91	\$3,052,182.17	\$1,965,006.27	\$0.00	\$1,965,006.27	\$1,705,355.42	\$259,650.85	87%
2015	100	98	98	\$2,163,182.98	\$2,098,699.68	\$0.00	\$2,098,699.68	\$1,691,382.59	\$407,317.09	81%
2014	128	122	122	\$2,936,156.81	\$2,823,977.81	\$0.00	\$2,823,977.81	\$2,172,368.58	\$651,609.23	77%
2013	133	131	131	\$3,025,669.74	\$2,963,373.78	\$0.00	\$2,963,373.78	\$2,307,148.94	\$656,224.84	78%
2012	104	104	104	\$3,551,064.55	\$2,923,713.70	\$220,609.84	\$3,144,323.54	\$1,995,570.51	\$1,148,753.03	63%
2011	96	94	94	\$2,556,523.31	\$2,508,832.85	\$0.00	\$2,508,832.85	\$1,794,278.87	\$714,553.98	72%
2010	88	88	88	\$1,663,327.84	\$1,701,373.00	\$0.00	\$1,701,373.00	\$1,367,052.34	\$334,320.66	80%
2009	88	88	88	\$2,094,930.01	\$1,993,702.89	\$0.00	\$1,993,702.89	\$1,570,101.49	\$423,601.40	79%
2008	93	89	89	\$2,549,933.46	\$2,487,000.30	\$0.00	\$2,487,000.30	\$1,840,628.20	\$646,372.10	74%
2007	82	79	79	\$2,880,463.58	\$2,833,309.50	\$2,997.22	\$2,836,306.72	\$1,962,730.09	\$873,576.63	69%
2006	47	45	45	\$1,578,185.69	\$1,334,744.33	\$3,321.86	\$1,338,066.19	\$1,300,191.40	\$37,874.79	97%
2005	40	37	37	\$1,442,508.74	\$1,163,932.33	\$7,642.53	\$1,171,574.86	\$939,813.71	\$231,761.15	80%
2004	38	33	33	\$1,649,945.14	\$1,344,611.01	\$4,377.02	\$1,348,988.03	\$1,031,270.48	\$317,717.55	76%
2003	32	25	25	\$1,719,080.38	\$1,112,484.58	\$4,375.17	\$1,116,859.75	\$656,823.48	\$460,036.27	59%
2002	26	22	22	\$1,288,064.31	\$1,268,352.07	\$0.00	\$1,268,352.07	\$989,297.91	\$279,054.16	78%
2001	27	18	18	\$1,256,380.61	\$1,007,942.20	\$0.00	\$1,007,942.20	\$770,219.45	\$237,722.75	76%
2000	35	24	24	\$654,508.83	\$524,526.87	\$0.00	\$524,526.87	\$502,437.63	\$22,089.24	96%
1999	20	18	18	\$1,190,951.01	\$762,793.33	\$43,163.64	\$805,956.97	\$788,724.23	\$17,232.74	98%
1998*	23	17	17	\$1,163,533.10	\$773,798.40	\$324,904.26	\$1,098,702.66	\$894,284.21	\$204,418.45	81%

Upcoming 2018 E-Rate Dates:

January 16 FY 2017 Form 486 deadline for funding committed in Wave 16. Upcoming Form 486 deadlines include:

Wave 17	01/22/2018
Wave 18	01/29/2018
Wave 19	02/05/2018
Wave 20	02/09/2018

Applicants missing these (or earlier) deadlines should watch carefully for “Form 486 Urgent Reminder Letters” in EPC. The Reminders will afford applicants with 15-day extensions to submit their Form 486s without penalty.

January 29 Invoice deadline for FY 2016 non-recurring services (or for requesting an Invoice Deadline Extension Request).

February 22 Technically, the last day to file a Form 470 for use with a Form 471 application for FY 2018. Whenever possible, Form 470s should be filed well before this date. If a Form 470 is filed on this date, the 28-day posting requirement is not over until March 22nd. On that day, an applicant using that last day Form 470 would have to select vendors, sign contracts, and complete the Form 471, all on the last day of the Application Window — never a good filing strategy!

March 22 FY 2018 Form 471 Application Window closes at 11:59 p.m. EDT.

FCC Commissioner Carr Nominated for Full Term:

FCC Commissioner Brendan Carr, appointed last summer to fill the final year of Thomas Wheeler’s term, was nominated for a new five-year term by President Trump. Senate confirmation of Mr. Carr’s appointment is expected.

Executive Orders on Rural Broadband:

President Trump has signed two executive orders designed to help promote and develop rural broadband and Internet services. The orders are not directly related to E-rate, and only tangentially involve the FCC, but may provide some assistance to rural schools and libraries seeking to buildout broadband facilities.

The first order, the “[Presidential Memorandum for the Secretary of the Interior](#),” instructs the Secretary of the Interior to develop a plan “to support rural broadband development and adoption by increasing access to tower facilities and other infrastructure assets managed by the Department of the Interior.” The second order, the “[Presidential Executive Order on Streamlining and Expediting Requests to Locate Broadband Facilities in Rural America](#),” declares the government will continue to enforce rules governing access to wireless communication sites.

More broadly, as mentioned by the President, the orders are consistent with elements of the rural e-connectivity recommendations contained in last year’s [Report to the President from the Task Force on Agriculture and Rural Prosperity](#).

FCC Lifeline Investigation Settlements:

In the closing days of 2017, the FCC announced the settlement of [five Lifeline investigations](#). As with the previous article, this is not directly E-rate related. It does, however, indicate the

FCC’s commitment to investigating instances of waste, fraud, and abuse. More specifically, it illustrates the type settlements, both financial and procedural, imposed in resolving these investigations. In all five settlements, the companies agreed to Consent Decrees that, in addition to requiring repaying the program for improper payments and making additional payments to the U.S. Treasury, implemented compliance plans to insure future adherence to program rules.”. The key elements of the compliance agreements, which we have seen in comparable E-rate settlements, include:

- Designation of a senior management Compliance Officer
- Development of a Compliance Plan including:
 - Operating procedures to ensure compliance
 - A Compliance Manual
 - A Compliance Training Program
- Requirement to report noncompliance
- Periodic Compliance Reports

USAC News Brief Dated January 12 – Category 2 Budget Tool Update

[USAC’s Schools and Libraries News Brief of January 12, 2018](#), reports that its [Category Two Budget Tool](#) has been updated to show cumulative Category 2 funding and remaining budget amounts as of FY 2017.

The Tool shows data on an entity-by-entity basis. The following example shows only one entity, but multiple entities can be displayed by inputting a string of entity numbers (separated by commas). The initial display shows only the basic entity name and number.

Entity Number

Enter up to 100 entity numbers separated by commas.

Lookup C2 Budget Status Clear

Category 2 Budget Status

Understanding the search results [\(more...\)](#) Funding Year 2017

Entity #	Entity Name
16039597	

Hint: If this screen or any of the following expanded view screens do not appear to display correctly, try clearing your browser’s cache (use the “Ctrl” + “Shift” + “Delete” key combination).

Summary budget data can be displayed by clicking the little “+” symbol to the left of the entity number. Note that budget and funding amounts are all shown in prediscount dollars.

Category 2 Budget Status

Understanding the search results (more...) Funding Year 2017

Entity #	Entity Name		
16039597			
FY2017	C2 Budget \$52,640.21	Approved Pre-Discount \$22,240.43	Remaining Balance \$30,399.78

Complete data on an application-by-application line item basis can be obtained by expanding the display a second time by clicking on the “+” symbol located left of the funding year.

Category 2 Budget Status

Understanding the search results (more...) Funding Year 2017

Entity #	Entity Name		
16039597			
FY2017	C2 Budget \$52,640.21	Approved Pre-Discount \$22,240.43	Remaining Balance \$30,399.78

Application #1049963

10 rows per page Page 1 of 1

FRN	Line Item	Requested Pre-discount	Approved Pre-discount	FRN Commitment Status
-----	-----------	------------------------	-----------------------	-----------------------

Application #161056651

10 rows per page Page 1 of 2

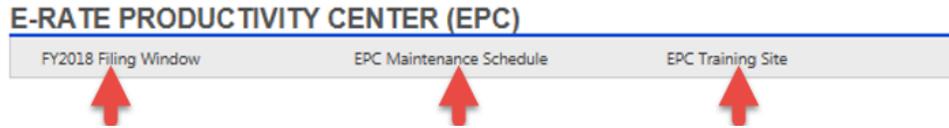
FRN	Line Item	Requested Pre-discount	Approved Pre-discount	FRN Commitment Status
1699131486	1	\$797.00	\$797.00	Funded
1699131486	2	\$4,438.41	\$4,438.41	Funded
1699131486	3	\$4,864.88	\$4,864.88	Funded
1699131486	4	\$744.10	\$744.10	Funded
1699131486	5	\$498.16	\$498.16	Funded
1699131486	6	\$1,063.00	\$1,063.00	Funded

The new Budget Tool is a vast improvement over the previous version, that did not include FY 2017 data, but users need to be aware of a few quirks. In the above example, for instance:

1. The specific applications shown do not directly indicate the funding years. To know this you need to understand USAC’s application numbering convention. In this case, application # 1049963 is from FY 2015, before USAC began numbering applications beginning with the last two digits of the funding year. Application # 1610566651, therefore, is for FY 2016.
2. If no application is shown for a specific funding year — in this example, there is no application number beginning with “171” for FY 2017 — no category 2 funding was granted for that entity or for that entity’s district.
3. If an application is listed under an entity, but no funding data is shown, the lack of data implies that one or more of the district’s other entities were funded.
4. The Funding Year designation in the upper righthand corner has a dropdown menu for FY 2015–2017. Changing the default year to either 2015 or 2016, does not change any of the funding data, but does change the funding year and budget amounts in the first data line of the display to reflect the lower per-student budget limits for that specific year. We see little reason to use any year but FY 2017.

Last week's USAC News Brief also discusses the following three topics:

1. Bulk upload Form 471 FRN templates for FY 2018 (discussed above).
2. Replacement of the previous "Apply for E-rate" web page with a new [E-rate Productivity Center \(EPC\)](#) page. The new EPC page includes links to instructional guides and videos, plus the following, not so obvious, hot links:



3. New process for designating directly impacted applicants for hurricane relief.

Newsletter information and disclaimer: This newsletter may contain unofficial information on prospective E-rate developments and/or may reflect E-Rate Central's own interpretations of E-rate practices and regulations. Such information is provided for planning and guidance purposes only. It is not meant, in any way, to supplant official announcements and instructions provided by the SLD, FCC, or NCDPI.

Additional North Carolina specific E-rate information is available through our Web site — <http://www.e-ratecentral.com/us/stateInformation.asp?state=NC>. Note that this site provides easy access to formatted North Carolina applicant E-rate histories via the Billed Entity Number ("BEN") search mechanism in the upper left-hand corner. Detailed information can be obtained by "drilling down" using the links for specific funding years and individual FRNs.

For further information, follow E-Rate Central on Twitter, Facebook, and LinkedIn.



If you have received this newsletter from a colleague and you would like to receive your own copy of the North Carolina E-Rate Forum, send an email to join-ncerate@lists.dpi.state.nc.us. Please include your name, organization, telephone, and e-mail address.

In compliance with federal law, the NC Department of Public Instruction administers all state-operated educational programs, employment activities and admissions without discrimination because of race, religion, national or ethnic origin, color, age, military service, disability, or gender, except where exemption is appropriate and allowed by law.